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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/568,090

02/10/2006

Kyung Sun

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EXAMINER

KIMBALL, JEREMIAH T

ART UNIT

PAPER NUMBER

4158

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DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/568,090	<b>Applicant(s)</b> SUN ET AL.	
	<b>Examiner</b> JEREMIAH KIMBALL	<b>Art Unit</b> 4158	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 10 February 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 03/25/08 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>02/10/06</u> .  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

**1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:**

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

**2. Claims 1-3, 5, 7, 10, and 15-17 are rejected under 35 U.S.C. 102(b) as being anticipated by Heilman et al. (US 6,066,085), hereinafter Heilman.**

3. In regards to **claim 1**, Heilman discloses a blood pump actuator (i.e. apparatus 10) to generate a driving force for driving a blood pump, the blood pump actuator comprising: a motor unit (i.e. electric servo-motor 56) having a stator 58 and a rotor 60, and rotating to generate a rotating force; a cam unit (i.e. cam 52, cover 49, eccentric shaft 47) to convert the rotating motion of the motor unit into a rectilinear reciprocating motion; and a bellows unit 20 comprising a bellows, which is expandable and contractible and contains a fluid therein, and an upper bellows plate (i.e. flat base plate 15) and a lower bellows plate (i.e. movable plate 28) respectively attached to the upper and lower ends of the bellows, wherein the lower bellows plate moves upwards and downwards in a vertical direction according to the rectilinear reciprocating motion of the cam unit engaging with the lower bellows plate, and the bellows repeatedly expands and contracts according to the vertical movement of the lower bellows plate (Col. 4, Line 5 – Col. 5, Line 39; Col. 9, Lines 40-51; Col. 11, Lines 1-28; Fig. 1, 2, and 13).

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4. In regards to **claim 2**, Heilman discloses the device according to claim 1, wherein the cam unit comprises: a cam 52 having a cam guide (i.e. cam follower 45) continuously formed around an outer circumferential surface of a cylindrical body of the cam; a cam cover 49 mounted to an upper end of the cam; and a cam gear (i.e. eccentric shaft 47) mounted to an end of the cam cover such that the cam gear is concentric with the cam and receives the rotating force of the motor unit (Col. 12, Lines 1-37; Fig. 14 and 15).

5. In regards to **claim 3**, Heilman discloses the device of claim 2, wherein the bellows unit is placed on the upper end of the cam unit, and the lower bellows plate comprises a lower bellows plate extension part (i.e. part of the pumping arm 33) which extends, at an edge of the lower bellows plate, towards the cam unit, is perpendicular to the lower bellows plate, is spaced apart from the cam unit, and is placed outside the cam unit, wherein the lower bellows plate extension part comprises a cam guide engagement part 43 provided on an inner surface thereof to engage with the cam guide (Col. 12, Lines 6-37; Fig. 14).

6. In regards to **claim 5**, Heilman discloses the device of claim 3, wherein the cam guide 45 comprises a depressed cam guide, while the cam guide engagement part 43 comprises a protruding cam guide engagement part (Fig. 14).

7. In regards to **claim 7**, Heilman discloses the device of claim 3, wherein the cam guide 45 has an asymmetrical curve shape (Fig. 14).

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8. In regards to **claim 10**, Heilman discloses the device of claim 3, wherein the lower bellows plate extension part is continuously formed around an outer circumferential surface of the lower bellows plate (Fig. 14).

9. In regards to **claim 15**, Heilman discloses the device of claim 1, further comprising: a reduction gear mechanism (i.e. speed or planetary gear reducer 70) placed between the motor unit and the cam unit (Col. 11, Line 29 – Col. 12, Line 5; Fig. 14 and 18).

10. In regards to **claim 16**, Heilman discloses the device of claim 15, wherein the reduction gear mechanism comprises a planetary gear mechanism unit (Col. 11, Line 29 – Col. 12, Line 5; Fig. 14 and 18).

11. In regards to **claim 17**, Heilman discloses the device of claim 16, wherein the planetary gear mechanism comprises: a sun gear (i.e. output shaft 62) having a gear part around an outer circumferential surface thereof; a carrier (i.e. within rotor portion 60) placed at a predetermined height different from a height of the gear part of the sun gear; at least two or more planetary gears 72, 74, 76, 78 mounted on a surface of the carrier and engaging with the gear part of the sun gear; and a ring gear (i.e. internal ring gear 68) having a gear part around an inner circumferential surface thereof and engaging with the planetary gears, wherein the carrier is mounted to an inner surface of the rotor, the sun gear is concentric with the cam unit, and the ring gear is integrally formed with the cam gear (Col. 11, Line 29 – Col. 12, Line 5; Fig. 14 and 18).

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***Claim Rejections - 35 USC § 103***

**12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:**

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**13. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:**

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

**14. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Heilman.**

15. In regards to **claim 4**, Heilman teaches the device of claim 3 except wherein the cam guide comprises a protruding cam guide, while the cam guide engagement part comprises a depressed cam guide engagement part. The feature of having a protruding cam guide with a depressed cam guide engagement part would have been a matter of obvious design choice to one of ordinary skill in the art at the time of invention since Applicant has asserted no specific purpose, nor any inherent advantage in the claimed shape, and one of ordinary skill in the art would be inclined to choose various shapes based on suitability of purpose, absent criticality or unexpected results.

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16. **Claims 6, 8, and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heilman in view of Murakami et al. (US 5,655,953), hereinafter Murakami.**

17. In regards to **claim 6**, Heilman discloses the device of claim 3, except wherein the cam guide has a sine curve shape. Attention is directed to the secondary reference of Murakami, which discloses a manufacturing method for a wave cam (i.e. with a sine curve shape cam guide) for a compressor (e.g. a main component in blood pumps). Murakami's manufactured cam produces axial displacement of a point as a result of one rotation of the wave cam following the wave cam surface (i.e. cam guide) having the shape of a double cycle sine wave curve (Col. 1, Lines 38-56; Fig. 3). Heilman and Murakami are concerned with the same field of endeavor, namely the design of wave cam plate type compressors which reciprocate a body by rotating a wave cam integrally attached to a drive shaft. Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify Heilman by incorporating a compressor with a sine curve-shaped cam guide, as taught by Murakami, within a blood pump actuator to produce the axial displacement necessary to fill and collapse a bellows.

18. In regards to **claim 8**, Heilman in view of Murakami teaches the device of claim 3 except wherein the cam guide has a stepped shape. The feature of having a stepped-shaped cam would have been a matter of obvious design choice to one of ordinary skill in the art at the time of invention since Applicant has asserted no specific purpose, nor any inherent advantage in the claimed shape, and one of ordinary skill in the art would

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be inclined to choose various shapes based on suitability of purpose, absent criticality or unexpected results.

19. In regards to **claim 9**, claimed material is substantially similar in scope to matter rejected in earlier claim 6 as disclosed by the Heilman and Murakami combination.

20. **Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Heilman in view of Lapeyre et al. (US 4,623,350), hereinafter Lapeyre.**

21. In regards to **claim 11**, Heilman discloses the device of claim 3, except wherein the lower bellows plate extension part is discontinuously formed around an outer circumferential surface of the lower bellows plate. Attention is directed to the secondary reference of Lapeyre, which discloses a total cardiac prosthesis comprising an extra-pericardial pumping unit with a pushing plate extension part (i.e. rod 49) discontinuously formed around an outer circumferential surface of the pushing plate 50 (Col. 17, Lines 29-68; Fig. 11). Heilman and Lapeyre are concerned with the same field of endeavor, namely the design of implantable blood pump actuators. Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify Heilman by incorporating a pushing plate extension part discontinuously formed around an outer circumferential surface of the pushing plate, as taught by Lapeyre, to utilize the actuation of the motor for oscillation of the pushing plate.

22. **Claims 12-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heilman in view of Lapeyre as applied to claim 11 above, and in further view of Dmitruk et al. (SU 816458B), hereinafter Dmitruk.**

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23. In regards to **claim 12**, the Heilman and Lapeyre combination discloses of the claim limitations as set forth above in claim 11, except wherein the lower bellows plate comprises two or more discontinuous lower bellows plate extension parts which are arranged at respective positions dividing the lower bellows plate into even sectors. Attention is directed towards the tertiary reference of Dmitruk, which discloses a heat converter for an artificial circulation system wherein the rod 3 (i.e. lower bellows plate extension part) interacts symmetrically with the thrust face 15 (i.e. lower bellows plate) of the blood pump transfer bellows 12, separating the thrust face into two equal sectors as to efficiently depress the bellows (Abstract; Fig. 1 and 2). Heilman, Lapeyre, and Dmitruk are all concerned with the same field of endeavor, namely implantable blood circulation pumps. Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify the Heilman and Lapeyre combination to incorporate lower bellows plate extension parts which divide the lower bellows plate into even sectors, as taught by Dmitruk, in order to avoid eccentricity or imbalance of the lower bellows plate.

24. In regards to **claim 13**, the Heilman and Lapeyre combination discloses the device of claim 1 and wherein the motor unit comprises a housing at a lower part thereof and is placed below the bellows unit (**Heilman** - Fig. 2, 13, and 14). However, Heilman fails to disclose a bellows guide extension part provided on an edge of the lower bellows plate and extending toward the cam unit, while the housing of the motor unit is provided with a bellows guide to guide the bellows guide extension part. Attention is directed to the tertiary reference of Dmitruk, which discloses a heat converter for an

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artificial circulation system wherein the rod 3 (i.e. bellows guide extension part) extends through a sleeve 4 (i.e. bellows guide) and interacts symmetrically with the thrust face 15 (i.e. lower bellows plate) of the blood pump transfer bellows 12. Therefore, as the rod extends through the sleeve while interacting with the thrust face, the bellows deflates and vice-versa (Abstract; Fig. 1 and 2).

25. In regards to **claim 14**, the Heilman, Lapeyre, and Dmitruk combination discloses the device of claim 13 and wherein the bellows guide extension part 3 comprises a rod-shaped part, while the bellows guide 4 comprises a linear bushing (i.e. outer or moving bush 8) to receive the bellows guide extension part therein (**Dmitruk** - Abstract; Fig. 1 and 2).

26. **Claims 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heilman in view of Faries, Jr. et al. (US 7,238,171), hereinafter Faries.**

27. In regards to **claim 18**, Heilman discloses the device of claim 1, except for further comprising: a pressure regulating unit to regulate pressure in the bellows. Attention is directed towards the secondary reference of Faries which discloses a system for controlling pressurized infusion of intravenous fluids, utilizing a pressure transducer/sensor 88 and controller 36 to regulate the pressure for bellows 20 (Col. 6, Line 53 – Col. 7, Line 6; Fig. 1). Heilman and Faries are concerned with the same field of endeavor, namely systems for controlling the circulation of pressurized fluids utilizing pumps, bellows, and actuators, among other common components. Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify Heilman by incorporating a pressure regulating unit to regulate pressure in the

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bellows, as taught by Faries, in order to maintain the inner pressure of the bellows within a predetermined pressure range.

28. In regards to **claim 19**, the Heilman and Faries combination discloses the device of claim 18 and wherein the pressure regulating unit comprises: a pressure gauge (i.e. Faries' pressure transducer/sensor 88) connected to the bellows (Faries 20) through a pressure connection part (i.e. Faries' hose or tube) and measuring pressure of the fluid in the bellows; a fluid pump (Faries' 86) connected to the pressure connection part and supplying or discharging the fluid to or from the bellows; control valves respectively placed between the bellows and the pressure gauge and between the pressure gauge and the fluid pump and controlling the flow of the fluid; and a pressure control unit (i.e. Faries' controller 36) to control both the control valves and the fluid pump in response to pressure in the bellows measured by the pressure gauge, thus maintaining inner pressure of the bellows within a predetermined pressure range (**Faries** – Col. 6, Line 53 – Col. 7, Line 6, Col. 9, Line 58 – Col. 10, Line 4; Fig. 1).

29. **Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Heilman in view of Reinicke (US 4,557,726).**

30. In regards to **claim 20**, Heilman discloses the device of claim 1, except further comprising a diaphragm which communicates with the bellows of the blood pump actuator through a connection part and is deformed according to changes in volume of the bellows, thus pumping blood from the blood pump system to a desired place in the body of a patient according to the deformation of the diaphragm. Attention is directed towards the secondary reference of Reinicke, which discloses an implantable device for

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medication dispensing, utilizing a diaphragm which communicates with the bellows 58 of the pump through a connection part 26 and is deformed according to changes in volume of the bellows and medication dispensation (Col. 3, Line 54—Col. 4, Line 29; Fig. 1). Heilman and Reinicke are concerned with the same field of endeavor, namely the design of implantable fluid-pumping systems. Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify Heilman by incorporating a diaphragm in communication with the bellows of the blood pump actuator, as taught by Reinicke, in order to pump blood from the system to a desired place in the body of a patient.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JEREMIAH KIMBALL whose telephone number is (571)270-7029. The examiner can normally be reached on 8am-6:30pm Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Jackson can be reached on 571-272-4697. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. K./

Examiner, Art Unit 4158

/Gary Jackson/

Supervisory Patent Examiner

Art Unit 4158

10/26/08